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# Introduction to Information Security

## Lecture 4: Hash Functions and MAC

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3. Message Authentication Code
  - ❖ HMAC
  - ❖ CBC-MAC

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# **1. Hash Functions vs. MAC**

**(Message Authentication Code)**

# Hash Functions

## ❖ Hash Function

- ✓ Generate a fixed length “**Fingerprint**” for an arbitrary length message
- ✓ **No Key** involved (public function)
- ✓ Must be at least “One-way” to be useful

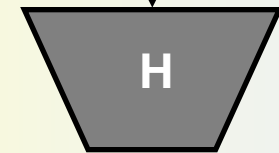
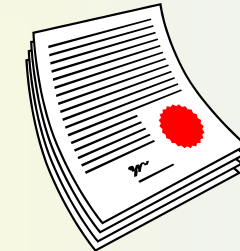
## ❖ Applications

- ✓ Unkeyed hash
  - ✓ digital signature
  - ✓ password file
  - ✓ key stream / pseudo-random number generator
- ✓ Keyed hash: MAC/ICV generation  
(Message Authentication Code, Integrity Check Value)

## ❖ Constructions

- ✓ Iterated hash functions (MD4-family hash functions): MD5, SHA1, SHA2, RMD160, HAS160
- ✓ Hash functions based on block ciphers: MDC(Manipulation Detection Code)

Message M



Message Digest D

$$D = H(M)$$



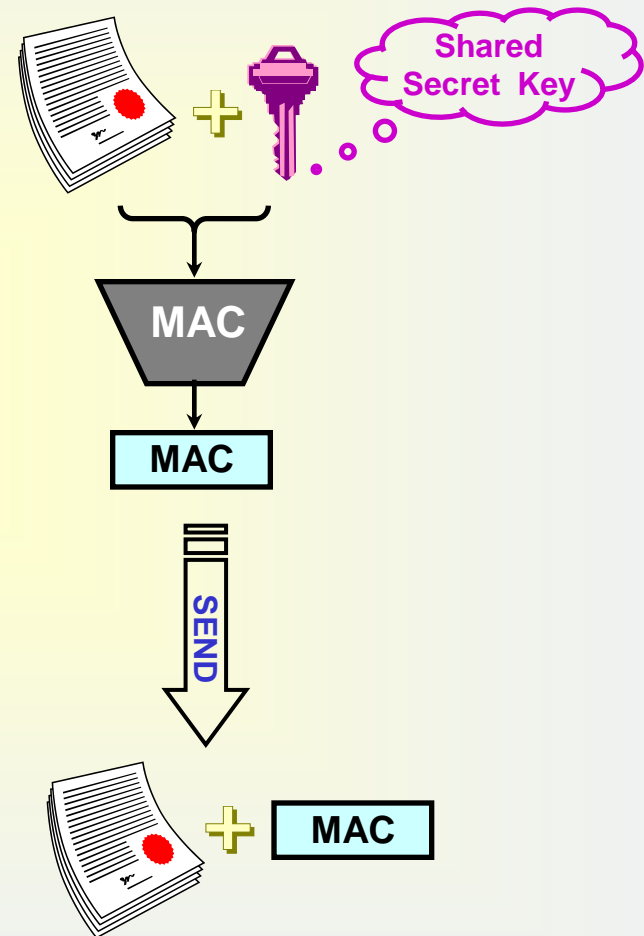
# Message Authentication Codes (MACs)

## ➤ MAC

- ✓ Generate a fixed length MAC for an arbitrary length message
- ✓ A keyed hash function
- ✓ Provides
  - ✓ Message origin authentication
  - ✓ Message integrity
  - ✓ Entity authentication
  - ✓ Transaction authentication

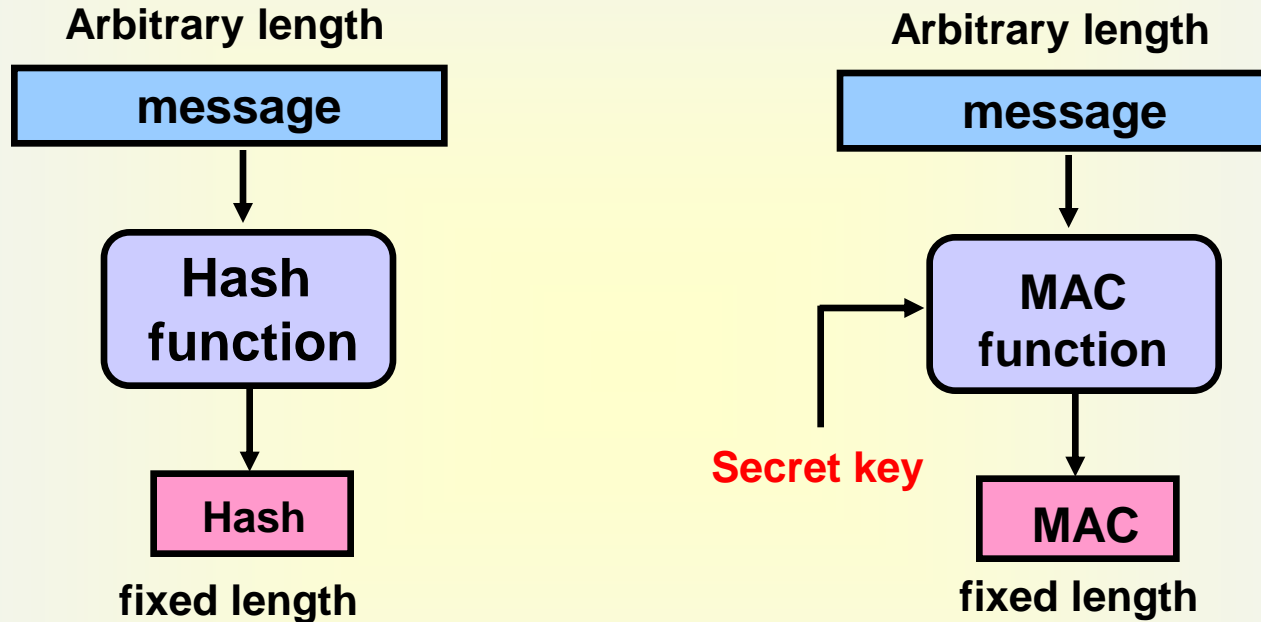
## ➤ Constructions

- ✓ Keyed hash: HMAC, KMAC
- ✓ Block cipher: CBC-MAC
- ✓ Dedicated MAC: MAA, UMAC



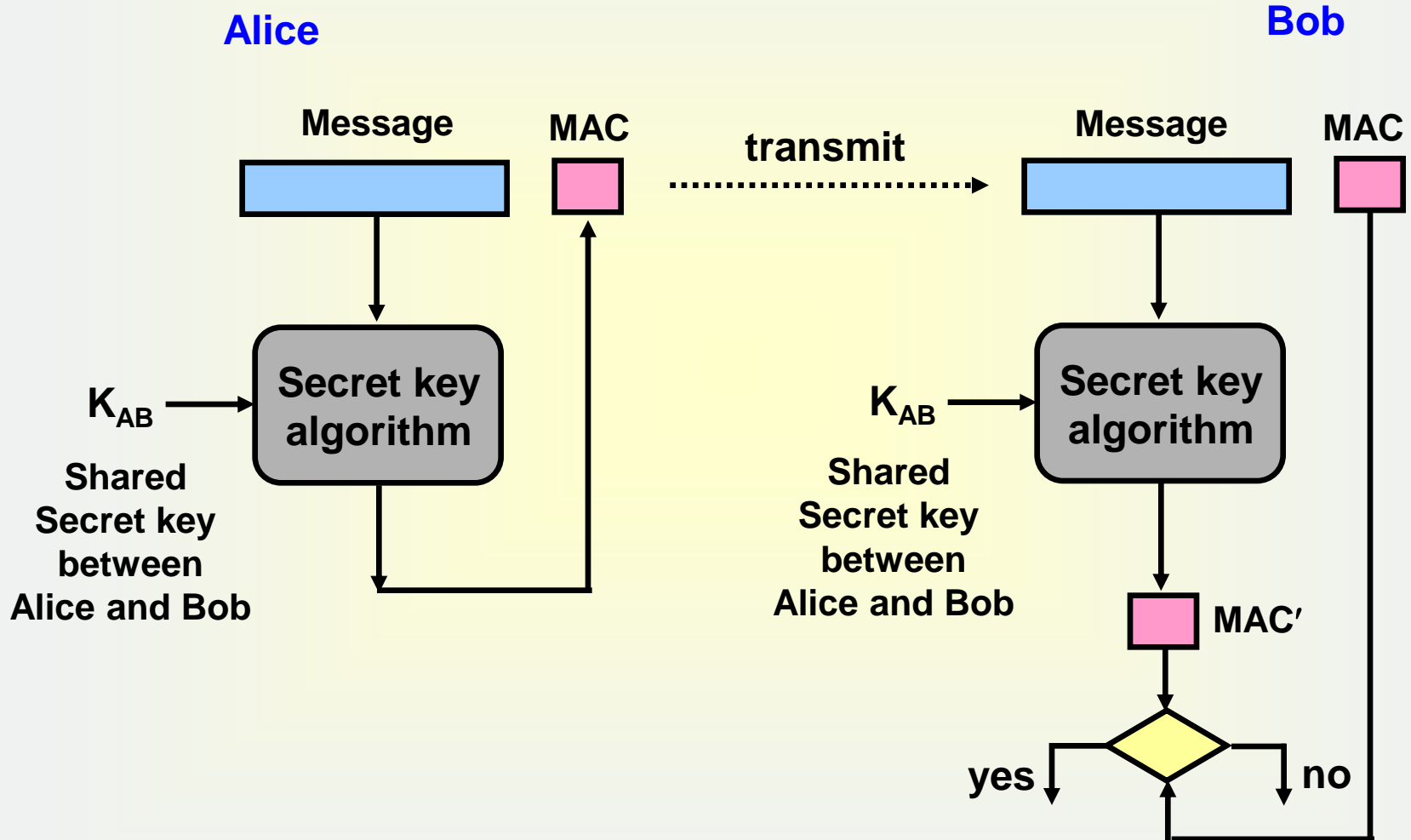
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# Comparison of Hash Function & MAC

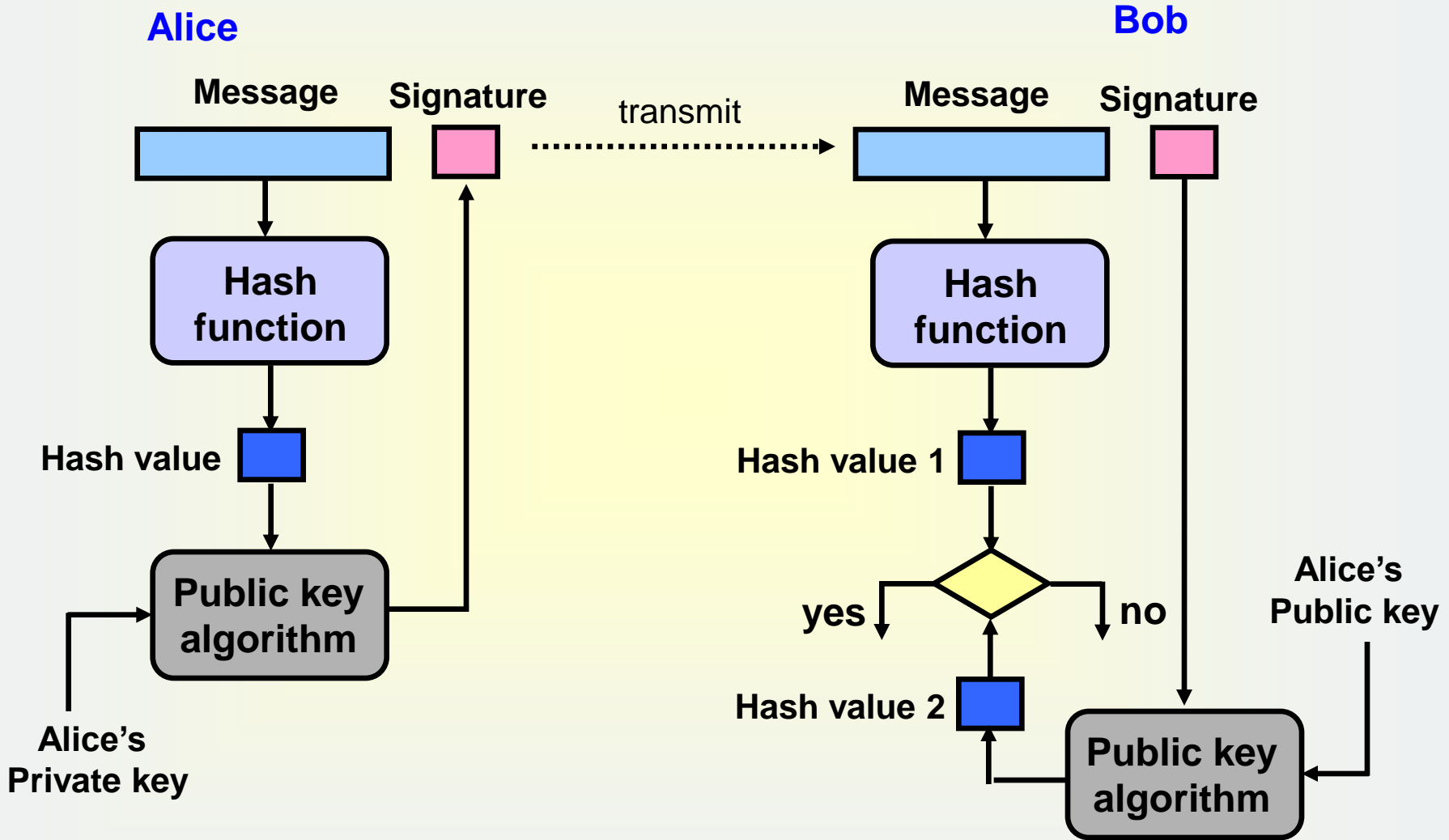


- Easy to compute
- Compression: arbitrary length input to fixed length output
- Unkeyed function vs. Keyed function

# Symmetric Authentication (MAC)



# Digital Signature





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# MAC and Digital Signature

## ❖ MAC (Message Authentication Code)

- Generated and verified by a **secret key** algorithm
- Message origin authentication & Message integrity
- Cannot provide non-repudiation
- Schemes
  - ✓ Keyed hash: HMAC
  - ✓ Block cipher: CBC-MAC, XCBC-MAC
  - ✓ Dedicated MAC: UMAC

## ❖ Digital Signature

- Generated and verified by a **public key** algorithm and a **hash** function
- Message origin authentication & Message integrity
- **Non-repudiation**
- Schemes
  - ✓ Hash + Digital signature algorithm
  - ✓ RSA; DSA, KCDSA; ECDSA, EC-KCDSA

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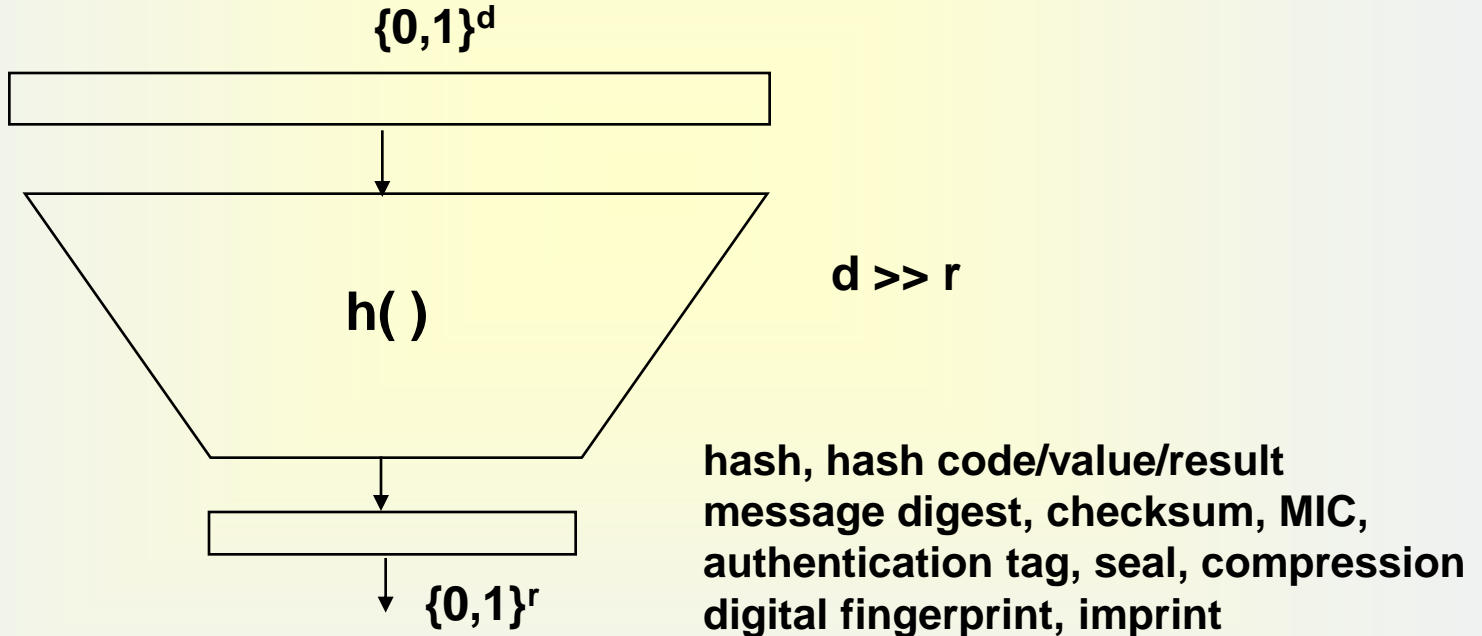
## 2. Hash Functions

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# Hash Functions

## ❖ Definition

- Compression: arbitrary length input to fixed length output
- Ease of computation



\* Note that collision is inevitable (many-to-one function).

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# Hash Functions – Requirements

## ❖ Security Properties

- **Preimage resistance** (One-wayness) :
  - Given  $y$ , it is computationally infeasible to find any input  $x$  such that  $y = h(x)$
  - Hardest task, weakest requirement
- **2nd preimage resistance** (Weak collision resistance) :
  - Given  $x$ , it is computationally infeasible to find another input  $x' \neq x$  such that  $h(x) = h(x')$
- **Collision resistance** (Strong collision resistance) :
  - It is computationally infeasible to find any two distinct inputs  $x$  and  $x'$  such that  $h(x) = h(x')$
  - Easier task, Strongest requirement

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# Hash Functions (Unkeyed)

**One-way  
Hash functions  
(OWHF)**

**Collision-Resistant  
Hash functions  
(CRHF)**

sufficient for  
most other  
applications

**Preimage resistance**

**2nd preimage resistance**

**Collision resistance**

Required for  
digital  
signatures

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# Brute Force Attack on One-Way Hash Functions

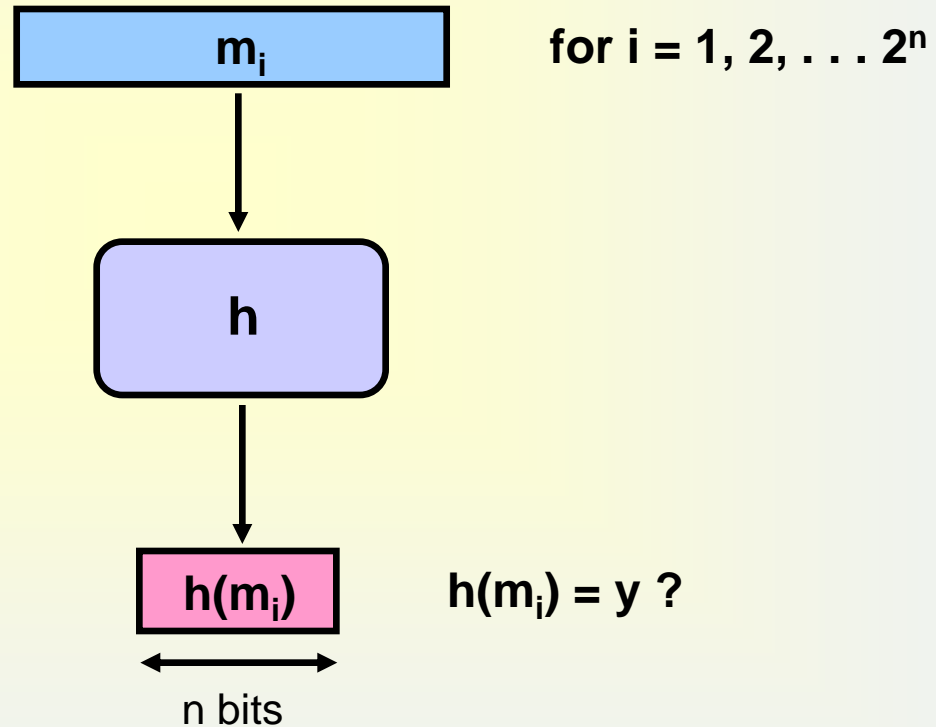
Assume that a signer had signed on a hash value  $y$ .

→ An attacker tries to frame the signer with a wrong message. Or

→ The signer tries to repudiate his signing.

**Given  $y$ ,**  
**find  $m$  such that**  
 **$h(m) = y$**

**Arbitrary message  $m$**   
**Or**  
 **$m$  of the same meaning ?**



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# Constructing Multiple Versions of the Same Message

I **state** thereby that I **borrowed** **\$10,000** from  
**confirm** **received** **ten thousand dollars**

**Mr. Kris** Gaj on **October 15,** 2001. This **money**  
**Dr. Krzysztof** **15 October** **amount of money**

**should** be **returned** to **Mr. Gaj** by **November 30,** 2001.  
**is required to** **given back** **Dr.** **30 November**

11 different positions of similar expressions

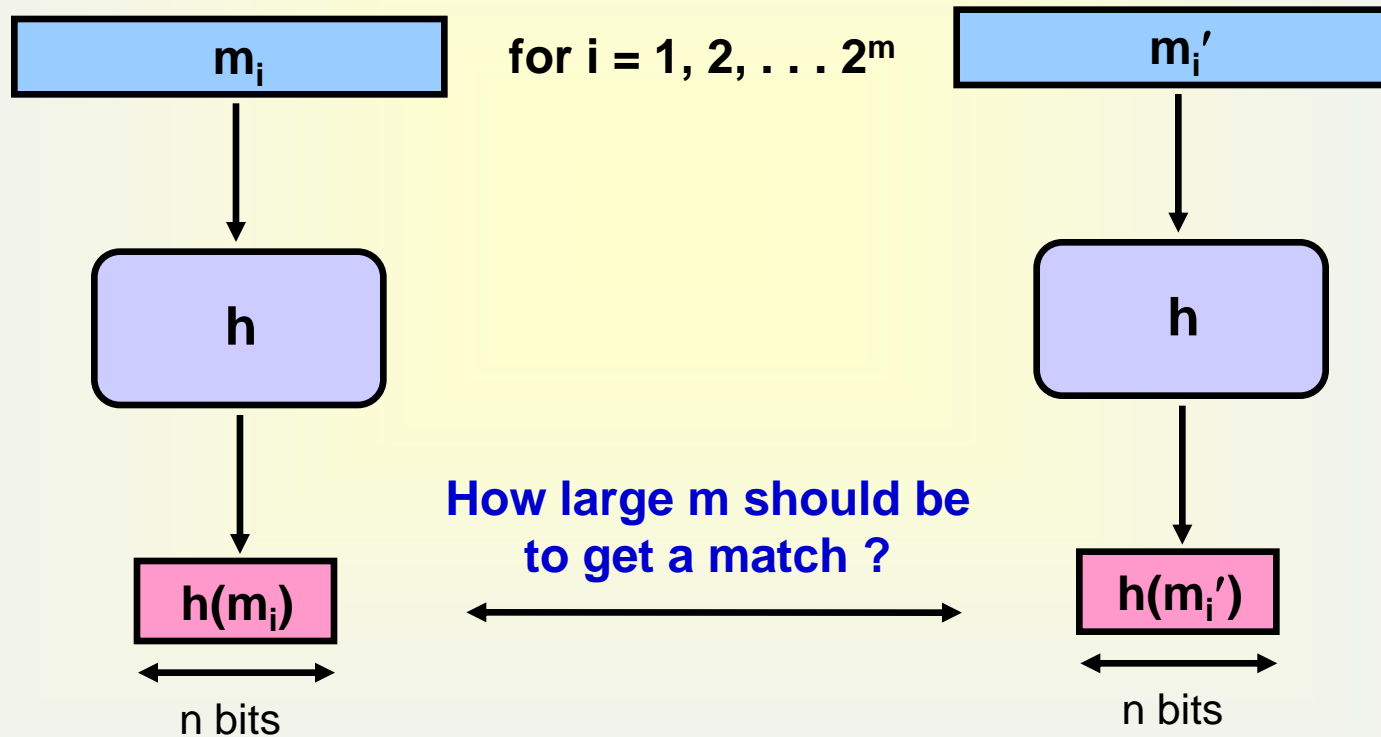


2<sup>11</sup> different messages of the same meaning

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# Finding Collision in Collision-Resistant Hash Functions

Find any two distinct messages  $m, m'$  such that  $h(m) = h(m')$ .





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# Birthday Paradox

How many students there must be in a class for there be a greater than 50% chance that

1. One of the students shares the teacher's birthday ?  
(complexity breaking **one-wayness**)

$$365/2 \approx 188$$

2. Any two of the students share the same birthday ?  
(complexity breaking **collision resistance**)

$$1 - 365 \times 364 \times \dots \times (365-k+1) / 365^k > 0.5 \Rightarrow k \approx 23$$

In general, the probability of a match being found when  $k$  samples are randomly selected between 1 and  $n$  equals

$$1 - \frac{n!}{(n-k)!n^k} > 1 - e^{-\frac{k(k-1)}{2n}}$$

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## Birthday Attack

Consider two sets of  $k$  instances:  $X = \{x_1, x_2, \dots, x_k\}$  ;  $Y = \{y_1, y_2, \dots, y_k\}$ ,

$$\Pr[\text{no match in } Y \text{ to } x_1] = \left(1 - \frac{1}{n}\right)^k$$

$$\Pr[\text{no match in } Y \text{ to } X] = \left(\left(1 - \frac{1}{n}\right)^k\right)^k$$

$$\Pr[\text{at least one match in } Y \text{ to } X] = 1 - \left(\left(1 - \frac{1}{n}\right)^k\right)^k > 1 - e^{-\frac{k^2}{n}}$$

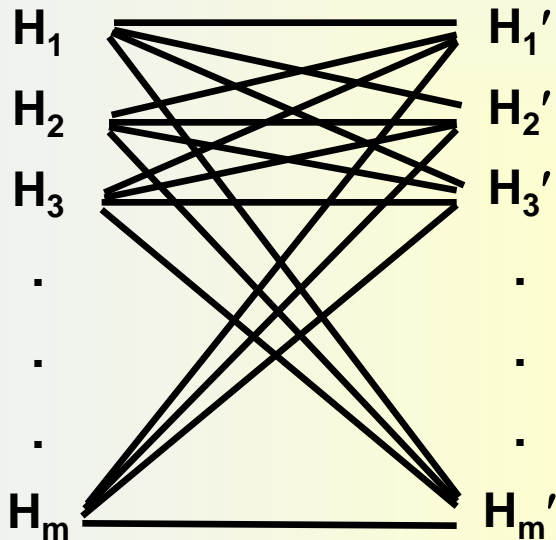
**The value of  $k$  making the probability of at least one match being greater than 0.5**

$$\frac{1}{2} = 1 - e^{-\frac{k^2}{n}} \Rightarrow 2 = e^{\frac{k^2}{n}} \Rightarrow \ln 2 = \frac{k^2}{n}$$

$$k = \sqrt{n \ln 2} = 0.83\sqrt{n} \approx \sqrt{n}$$

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# Birthday Attack on Collision Search



- ❖ Number of comparisons =  $m^2$
- ❖ Suppose that digest size =  $n$  bits
- ❖ Intuitively,
  - Hash values can take  $2^n$  possible values
  - generate two sets of  $m=2^{n/2}$  hash values
  - compare each element of the two sets
  - there is  $2^n$  comparisons
  - probably there will be one match
- ❖ But, more exactly,
  - $1.66 \times 2^{n/2}$  hash values are required to find a match with prob.  $> 0.5$  (using the birthday attack)

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# One Million \$ Hardware Brute Force Attack

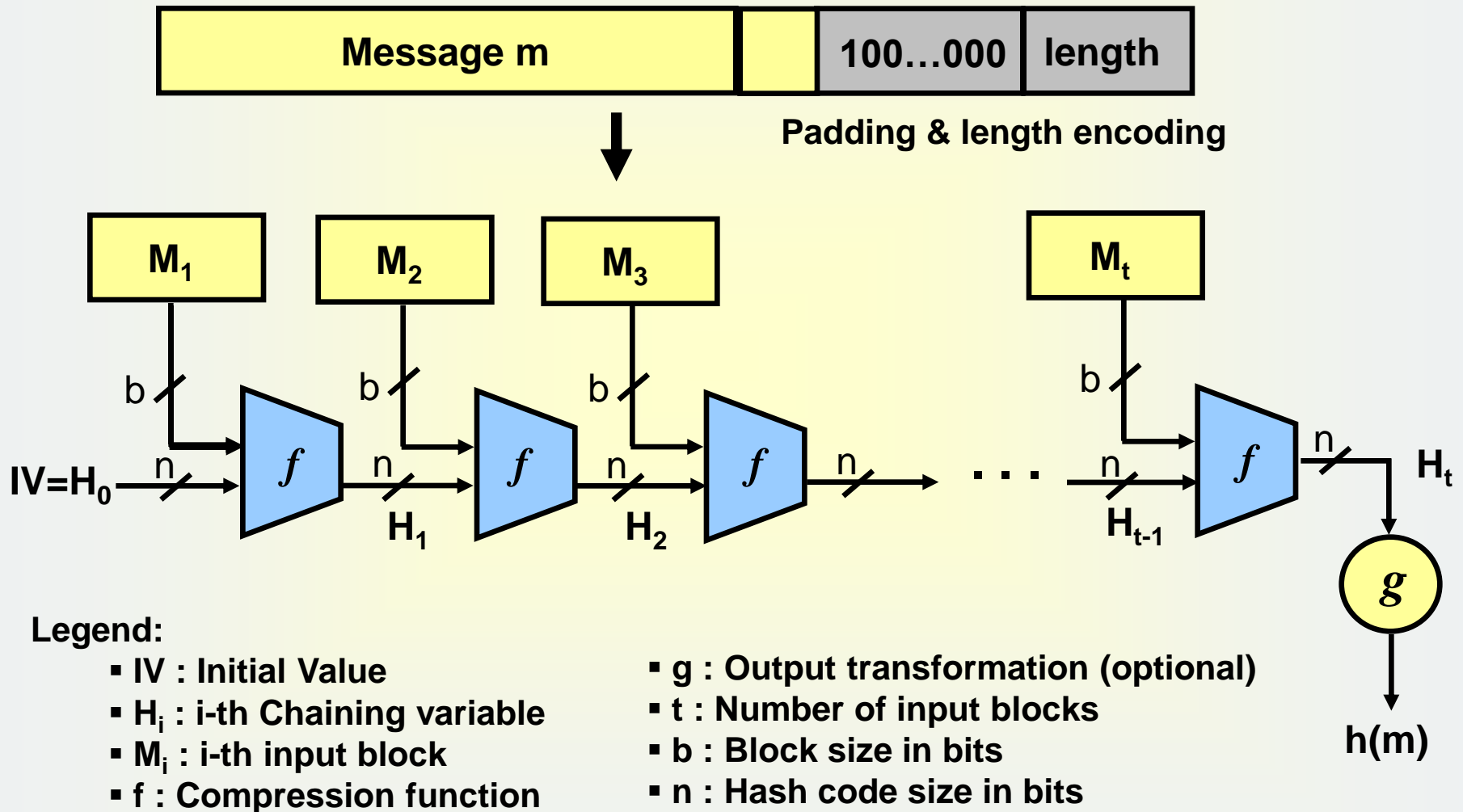
## ❖ One-Way Hash Functions (complexity = $2^n$ )

|                  |               |                  |                                   |
|------------------|---------------|------------------|-----------------------------------|
|                  | <b>n = 64</b> | <b>n = 80</b>    | <b>n = 128</b>                    |
| <b>Year 2001</b> | <b>4 days</b> | <b>718 years</b> | <b><math>10^{17}</math> years</b> |

## ❖ Collision-Resistant Hash Functions (complexity = $2^{n/2}$ )

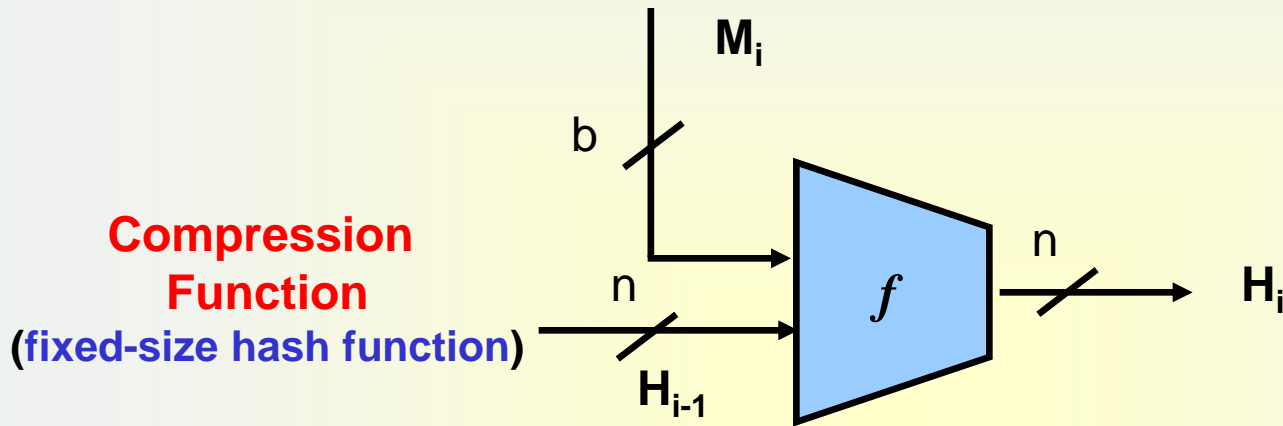
|                  |                |                  |                                   |
|------------------|----------------|------------------|-----------------------------------|
|                  | <b>n = 128</b> | <b>n = 160</b>   | <b>n = 256</b>                    |
| <b>Year 2001</b> | <b>4 days</b>  | <b>718 years</b> | <b><math>10^{17}</math> years</b> |

# General Construction of a Secure Hash Function



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# General Construction of a Secure Hash Function



Entire hash

$$H_0 = IV$$

$$H_i = f(H_{i-1}, M_i) \text{ for } 1 \leq i \leq t$$

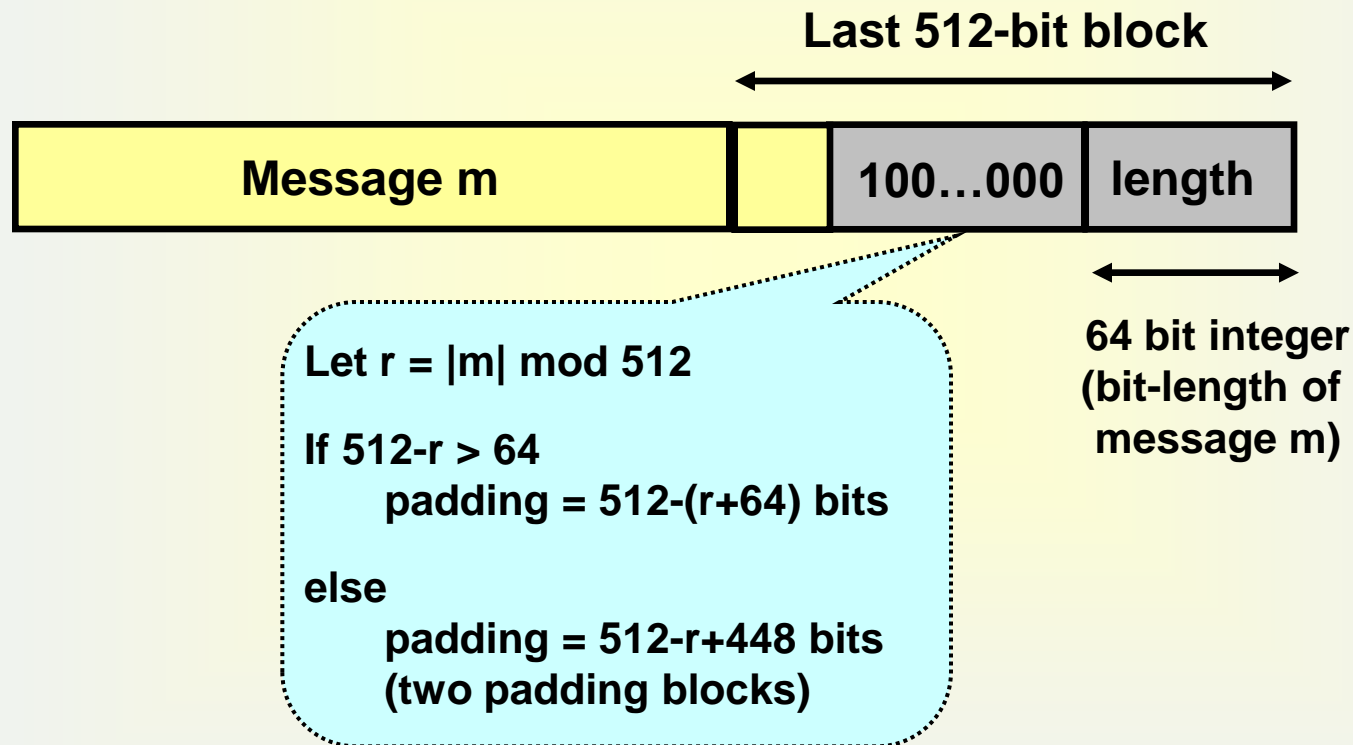
$$H(m) = g(H_t)$$

Fact(by Merkle-Damgård)

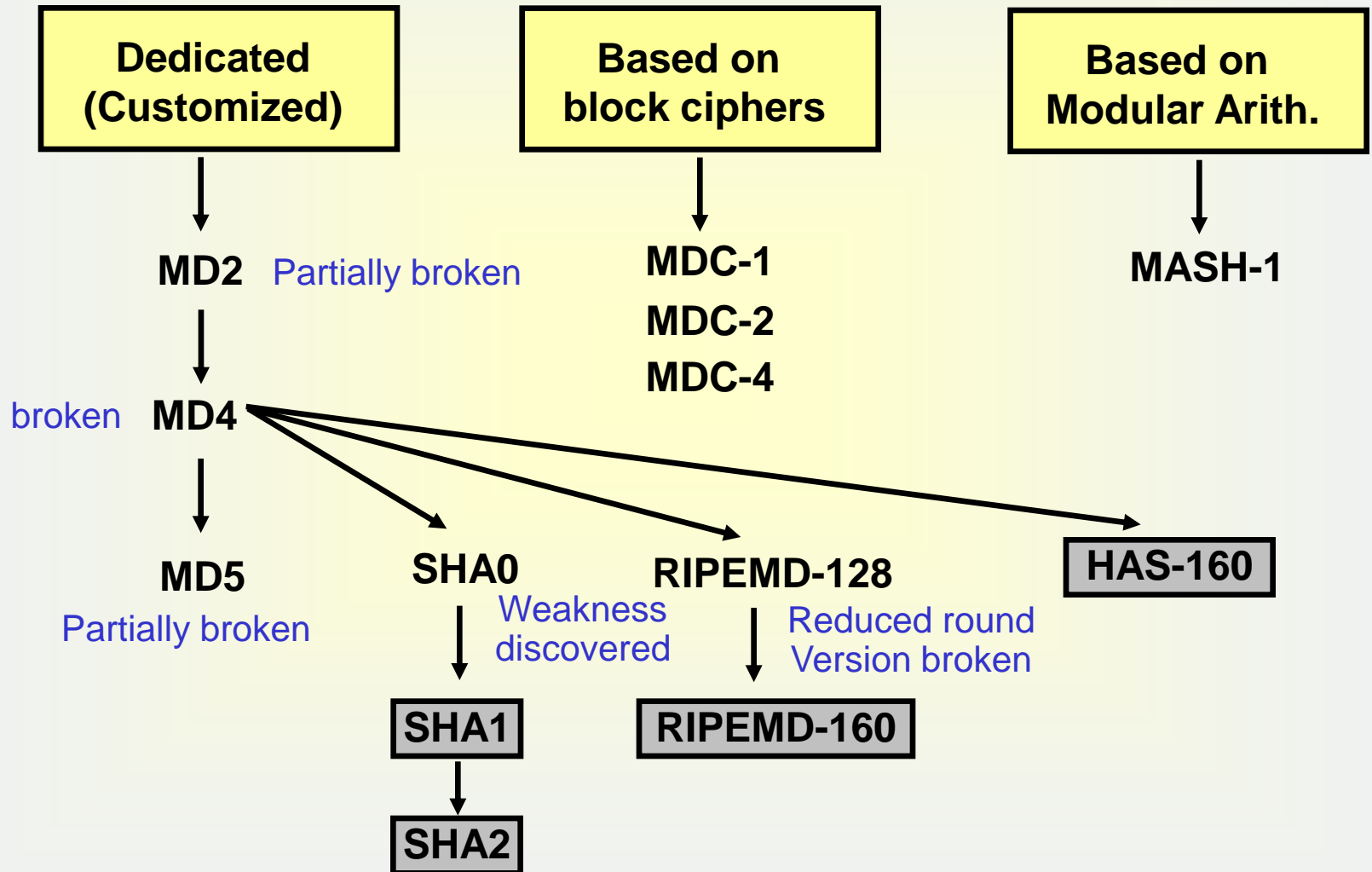
Any collision-resistant compression function  $f$  can be extended to a collision-resistant hash function  $h$

# Typical Hash Padding

- ❖ Assume Block size = 512 bits (MD5, SHA1, RMD160, HAS160 ...)



# Classification of Hash Functions



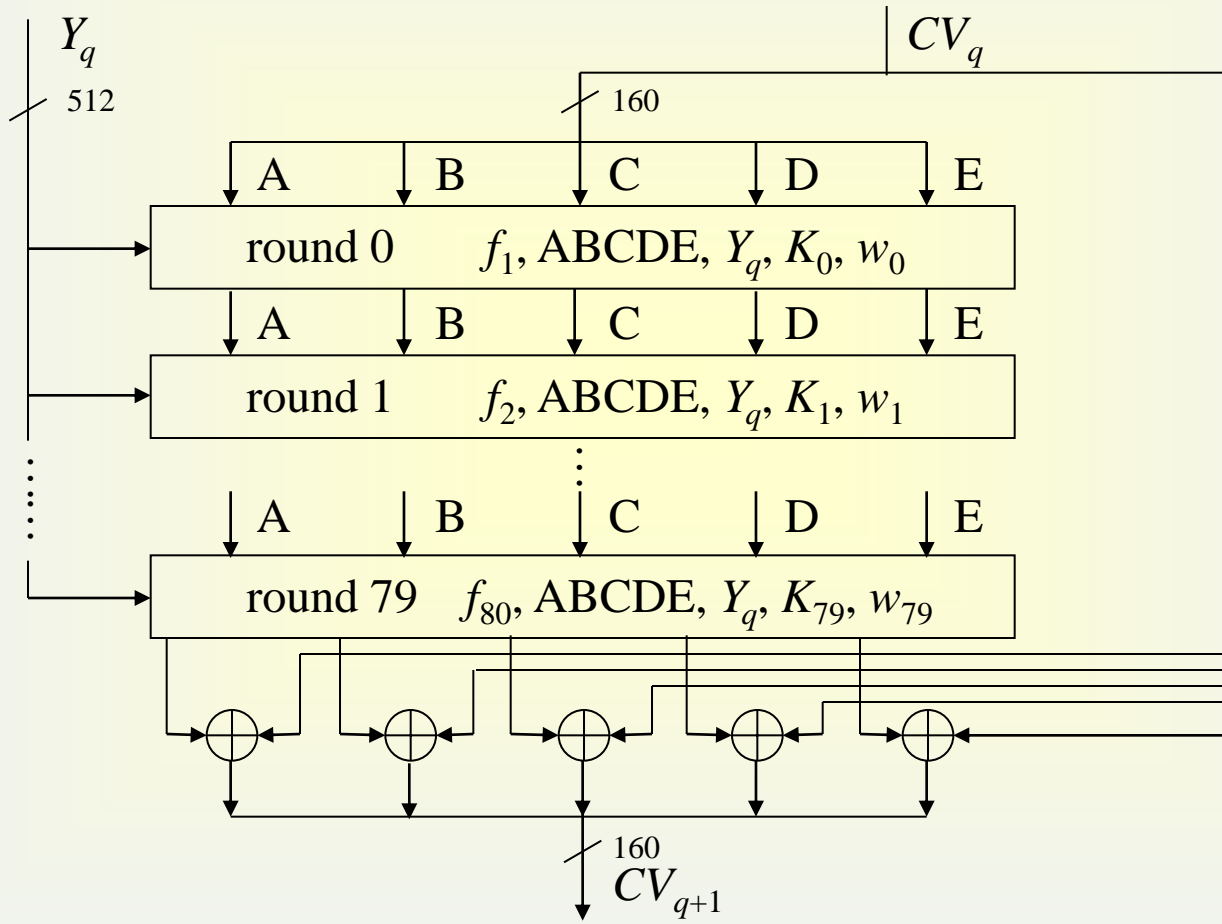


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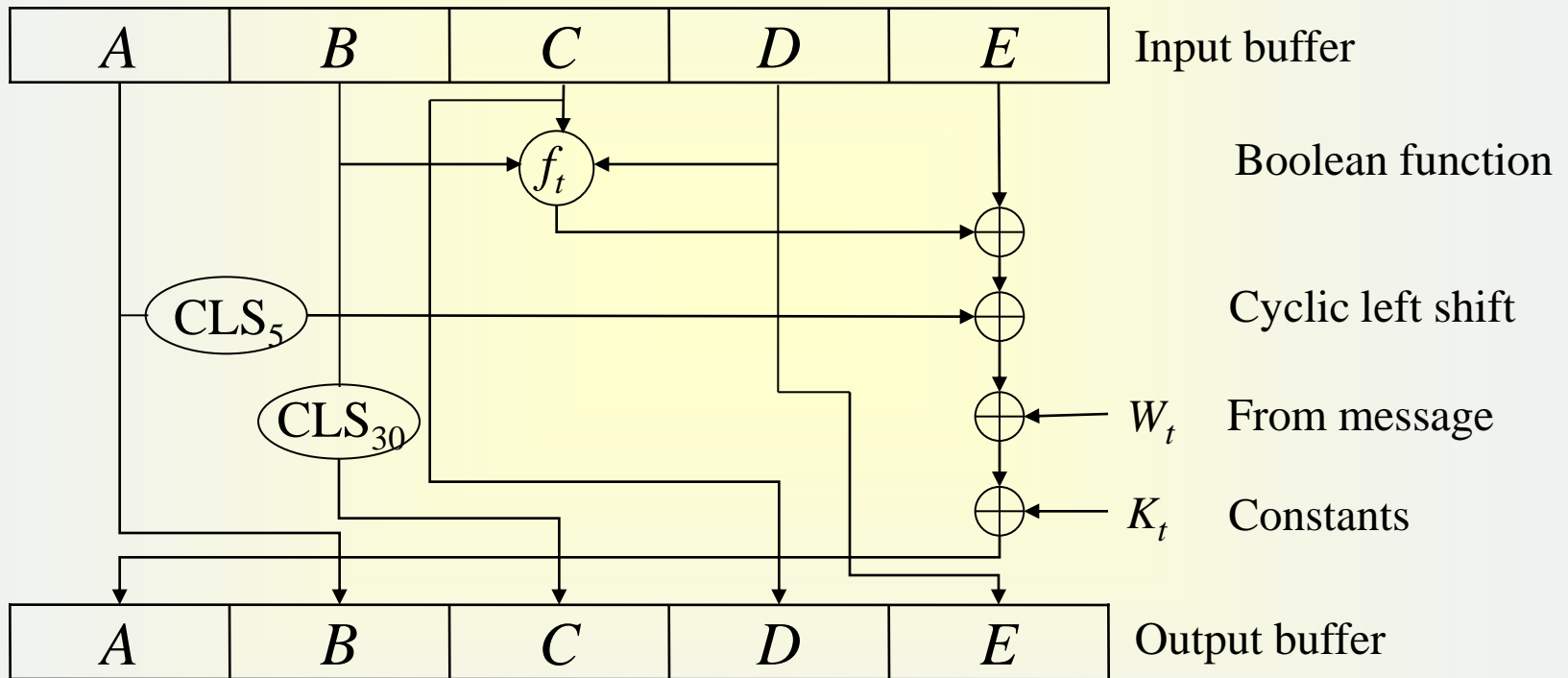
# SHA (Secure Hash Algorithm)

- ❖ **SHA was designed by NIST (national institute of standards and technology) & NSA (National Security Agency) in 1993, and revised as SHA-1 in 1995**
  - ❖ **SHA: FIPS PUB 180, 1993** \* Federal Information Processing Standard
  - ❖ **SHA-1 : FIPS Pub 180-1, 1995**
- ❖ **US standard for use with DSA signature scheme**
- ❖ **The algorithm is SHA, the standard is SHS**
- ❖ **Based on the design of MD4 with key differences**
- ❖ **SHA-1 : *Secure Hash Standard (SHS)*, FIPS Pub 180-1, 1995**
  - ❖ **160-bit hash value (5 words Big Endian)**
  - ❖ **512-bit block size**
  - ❖ **4 round hash, each round has 20 steps, total 80 steps**

# SHA-1 Overview



# SHA-1 round function



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# SHA-1

Initial values

$A = 67452301$

$B = EFC DAB89$

$C = 98BADCFE$

$D = 10325476$

$E = C3D2E1F0$

Constants  $K_t$

$t = 0 \sim 19$        $K_t = 5A827999$

$t = 20 \sim 39$      $K_t = 6ED9EBA1$

$t = 40 \sim 59$      $K_t = 8F1BBCDC$

$t = 60 \sim 79$      $K_t = CA62C1D6$

Boolean function  $f_t$

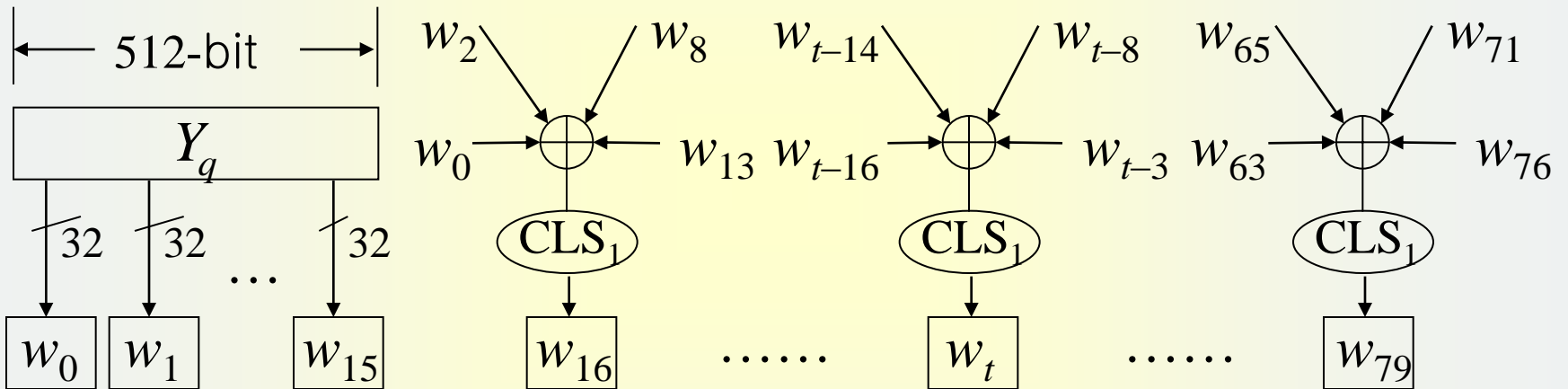
$t = 0 \sim 19$        $f_t(B, C, D) = B \cdot C + \overline{B} \cdot D$

$t = 20 \sim 39$      $f_t(B, C, D) = B \oplus C \oplus D$

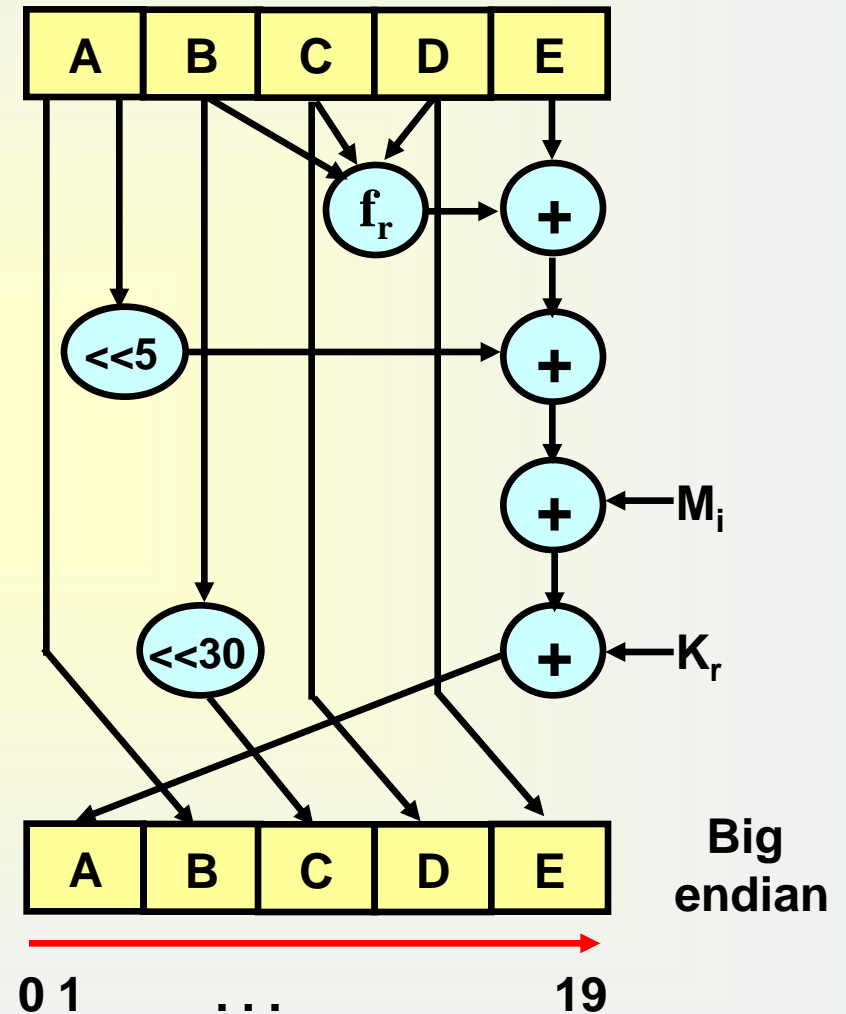
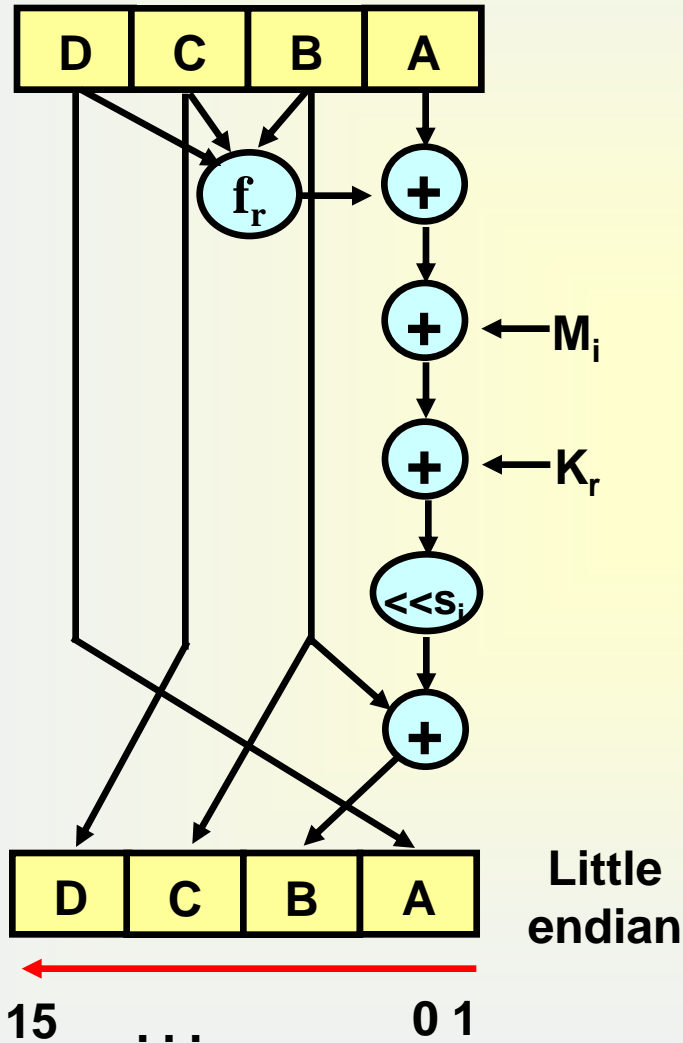
$t = 40 \sim 59$      $f_t(B, C, D) = B \cdot C + B \cdot D + C \cdot D$

$t = 60 \sim 79$      $f_t(B, C, D) = B \oplus C \oplus D$

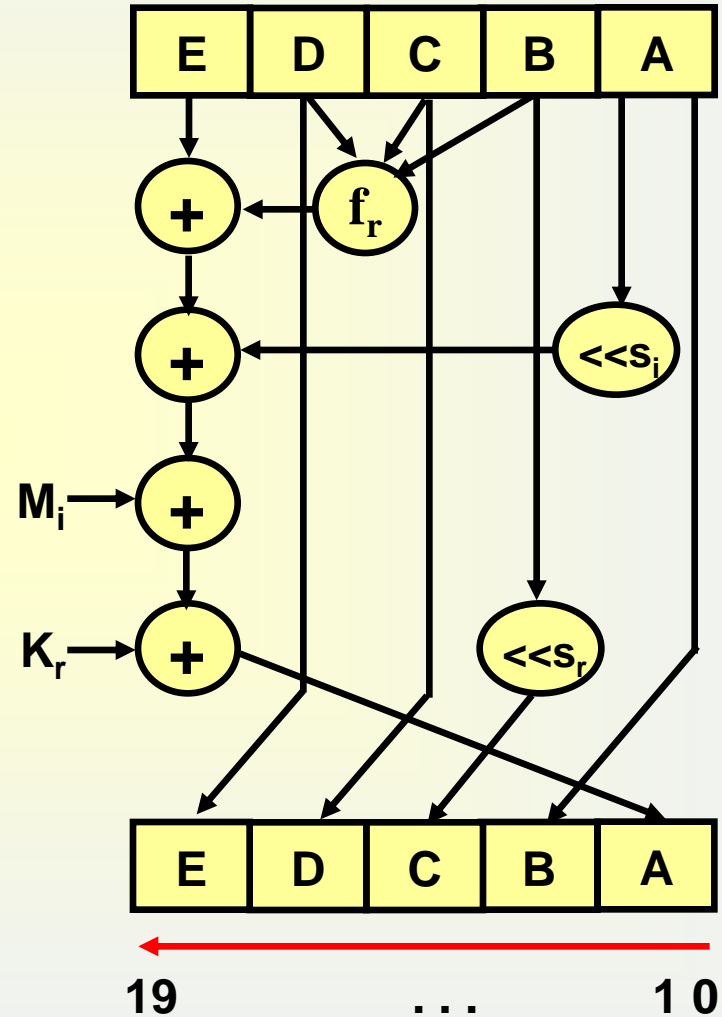
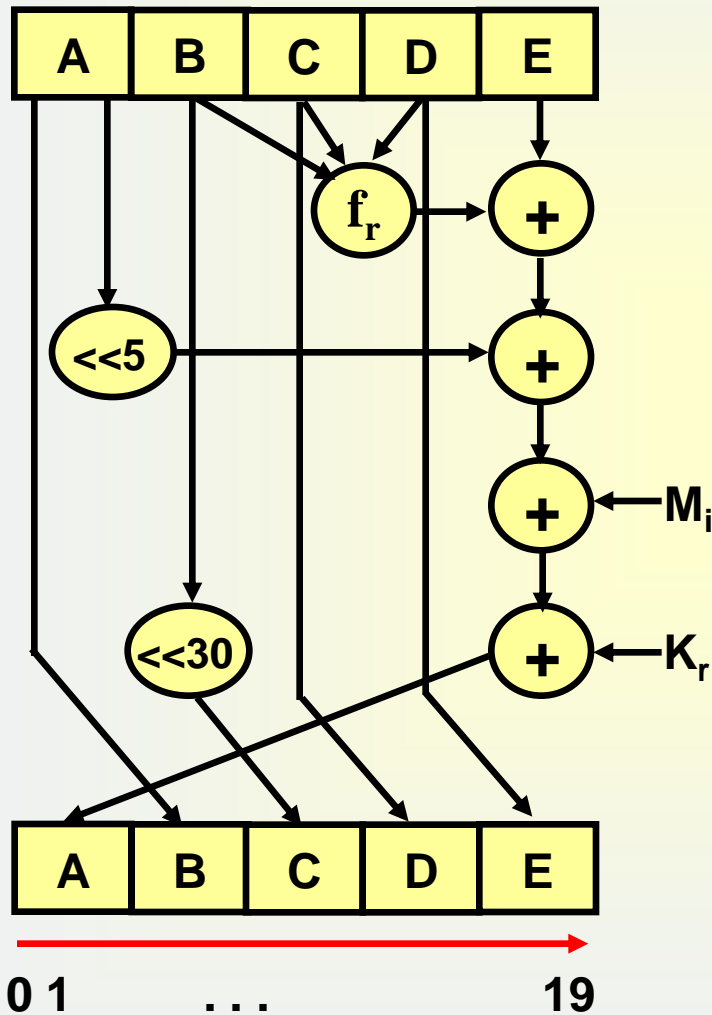
# SHA-1 message inputs



# Step Operations of MD5 & SHA1



# Step Operations of SHA1 & HAS160



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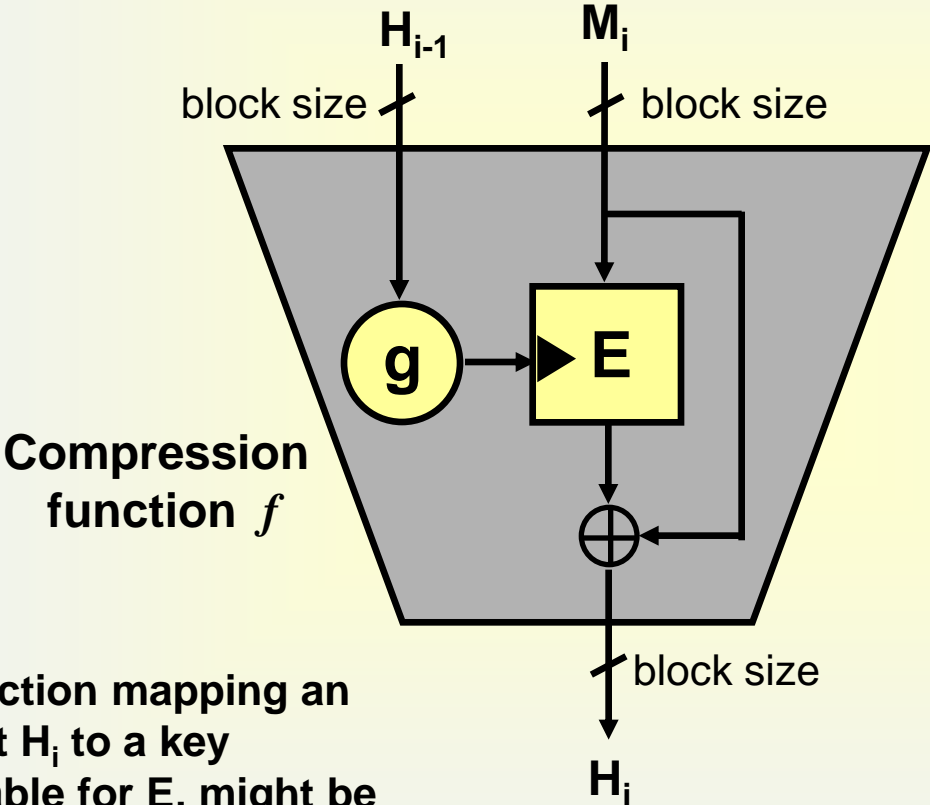
## Comparison of Popular Hash Functions

| Hash Func.        | MD5      | SHA1     | RMD160      | HAS160   |
|-------------------|----------|----------|-------------|----------|
| Digest size(bits) | 128      | 160      | 160         | 160      |
| Block size(bits)  | 512      | 512      | 512         | 512      |
| No of steps       | 64(4x16) | 80(4x20) | 160(5x2x16) | 80(4x20) |
| Boolean func.     | 4        | 4(3)     | 5           | 4(3)     |
| Constants         | 64       | 4        | 9           | 4        |
| Endianness        | Little   | Big      | Little      | Little   |
| Speed ratio       | 1.0      | 0.57     | 0.5         | 0.94     |



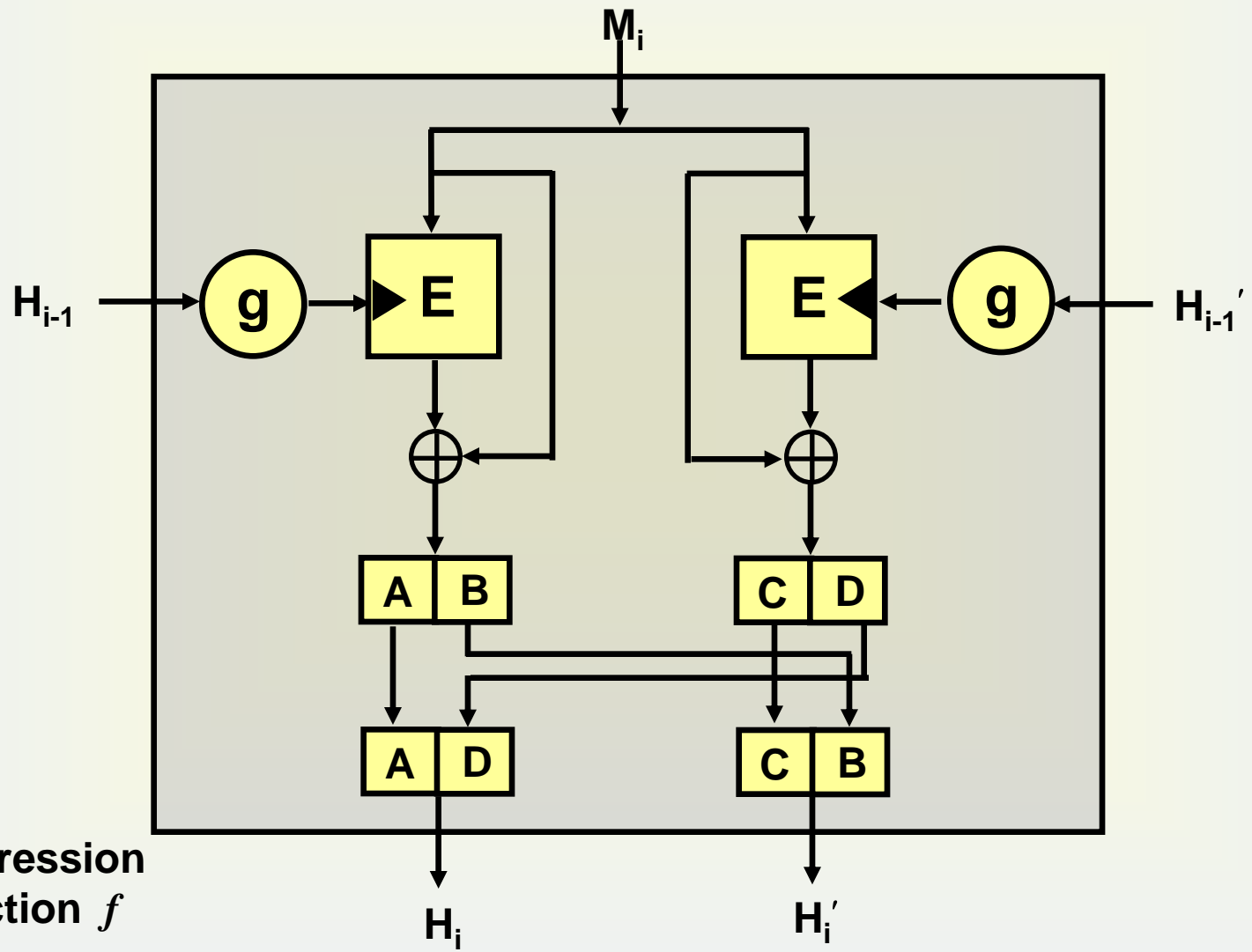
# Hash Functions Based on Block Ciphers: MDC1

## Matyas-Meyer-Oseas Scheme



- Provably Secure under an appropriate black-box model
- But produces too short hash codes for use in most applications

# Hash Functions Based on Block Ciphers: MDC2



Compression function  $f$

# Hash Functions – Implementation results

PIII 450MHz : Widows 98 : MSVC++ 6.0

|         | Output len. | 64 bytes   | 1K bytes   | 1M bytes   |
|---------|-------------|------------|------------|------------|
| SHA1    | 160 bits    | 101.7 Mbps | 200.8 Mbps | 214.9 Mbps |
| SHA-256 | 256 bits    | 48.2 Mbps  | 97.6 Mbps  | 104.1 Mbps |
| SHA-512 | 512 bits    | 16.0 Mbps  | 28.8 Mbps  | 32.8 Mbps  |
| RMD160  | 160 bits    | 91.1 Mbps  | 174.9 Mbps | 188.1 Mbps |
| HAS160  | 160 bits    | 158.6 Mbps | 328.7 Mbps | 353.0 Mbps |
| Tiger   | 192 bits    | 51.0 Mbps  | 98.8 Mbps  | 106.3 Mbps |
| MD5     | 128 bits    | 176.5 Mbps | 349.8 Mbps | 376.3 Mbps |

## ➤ Remarks

- ✓ Theoretical strength of hash functions with k-bit output : about  $2^{k/2}$
- ✓ 128-bit Hash function does not offer sufficient protection
  - ➔ **Use of MD5 not recommended**
- ✓ **SHA1 only provides 80-bit security**
- ✓ AES offers three key sizes (128, 192, 256)
  - ➔ Need for companion hash algorithms to give similar security
  - ➔ **SHA-(256, 384, 512) have been proposed (draft FIPS)**

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## **3. Message Authentication Code**

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# MAC Functions

## ❖ MAC algorithms

- Keyed hash functions whose specific purpose is message authentication

## ❖ Requirements

- Ease of computation

- Compression

arbitrary length input to fixed length output

- Computation resistance

Given zero or more text-MAC pairs  $(m_i, \text{MAC}_K(m_i))$ ,

It's computationally infeasible to find any new text-MAC pair  $(m, \text{MAC}_K(m))$  for  $m \neq m_i$

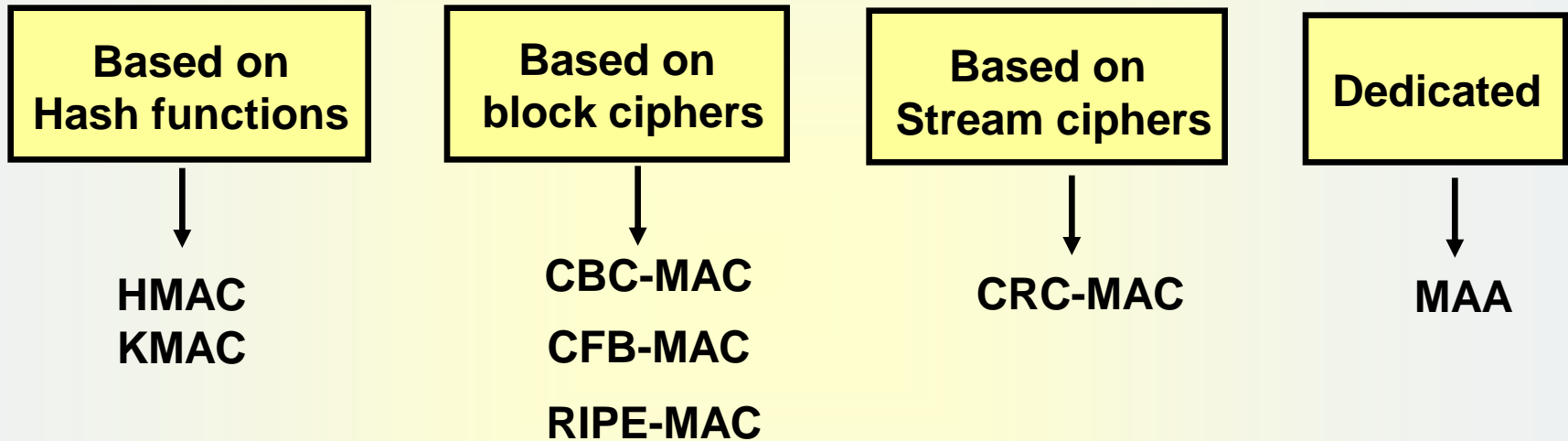
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# Attacks & Forgeries on MAC Algorithms

- ❖ **Adversary's goal**
  - **MAC key recovery**
  - **MAC forgery**
  
- ❖ **Attacks on MAC algorithms**
  - **Known-text attack**
  - **Chosen text attack**
  - **Adaptively chosen text attack**
  
- ❖ **MAC Forgeries**
  - **Selective forgery**
  - **Existential forgery**

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# Classification of MAC Algorithms



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# Constructing MAC from Hash Functions

## ❖ Secret Prefix Method

- $MAC_K(M) = h(K || M)$
- Extension attack: easy to generate MAC for  $\underline{M} = M || P || M'$  (P: hash padding)

## ❖ Secret Suffix Method

- $MAC_K(M) = h(M || K)$
- Birthday attack applies: if  $h(M) = h(M')$ , then  $MAC_K(M) = MAC_K(M')$

## ❖ Envelope Method

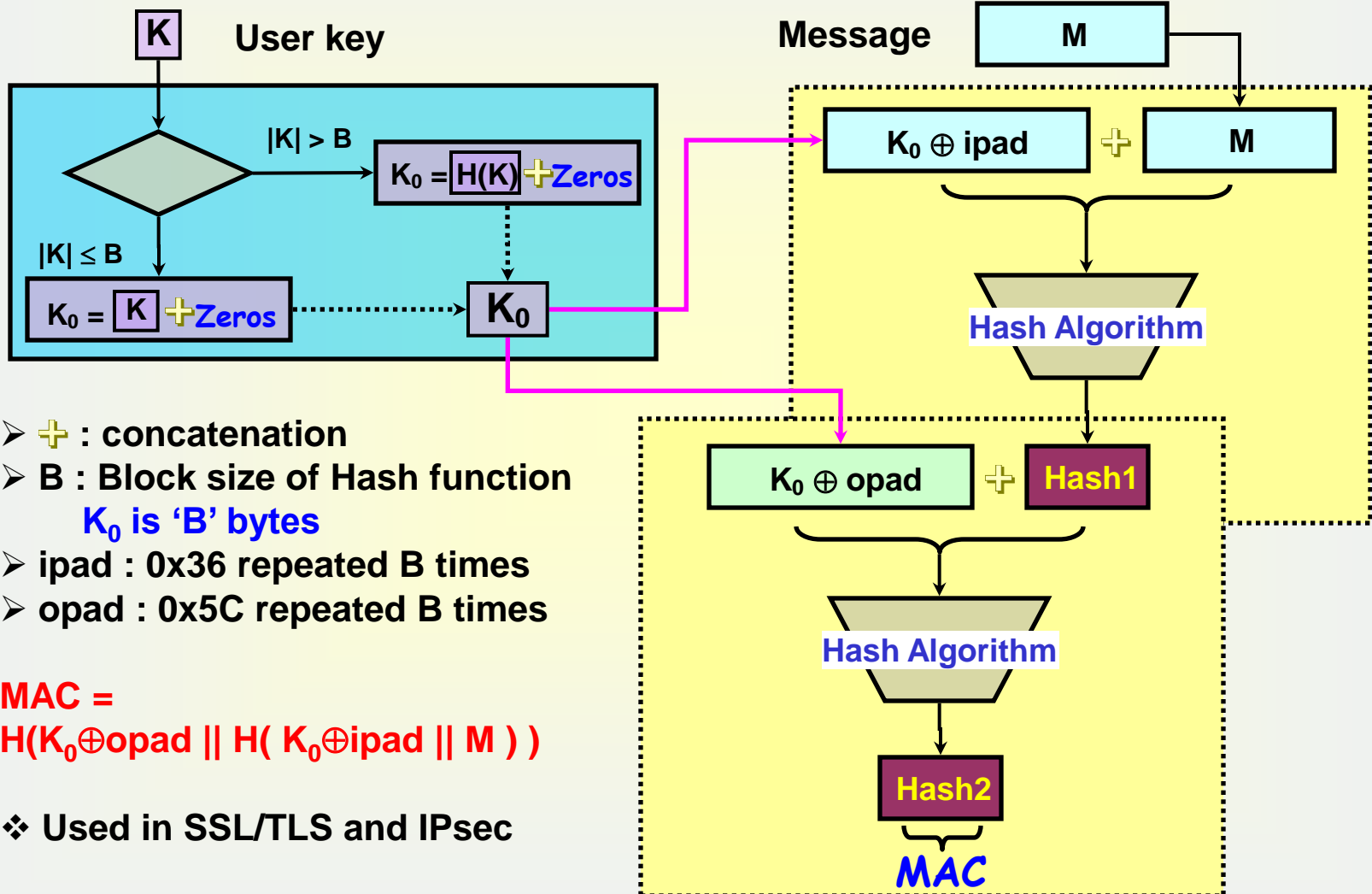
- $MAC_K(M) = h(K || P || M || K)$  (P=padding to make  $K || P$  one block)
- No known weakness yet

## ❖ HMAC

- Provably secure under some ideal assumptions on the underlying hash function <http://csrc.nist.gov/publications/fips/fips198/fips-198a.pdf>



# HMAC : Keyed-Hash MAC

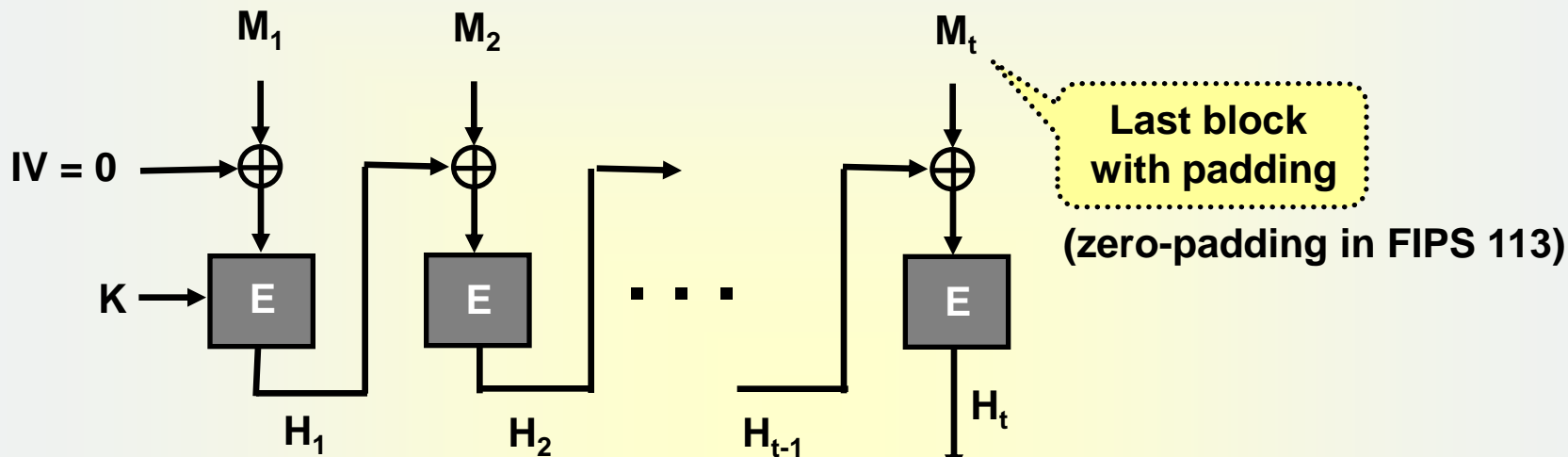


- $+$  : concatenation
- $B$  : Block size of Hash function  
 $K_0$  is 'B' bytes
- $\text{ipad}$  : 0x36 repeated B times
- $\text{opad}$  : 0x5C repeated B times

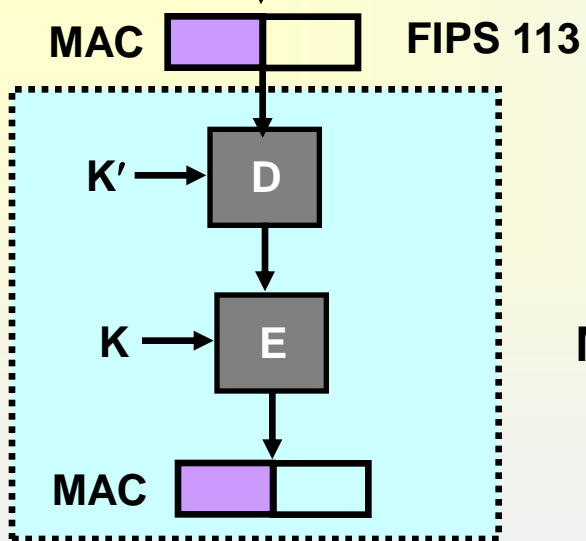
**MAC =**  
 $H(K_0 \oplus \text{opad} \parallel H(K_0 \oplus \text{ipad} \parallel M))$

❖ Used in SSL/TLS and IPsec

# MAC Based on Block Ciphers: CBC-MAC

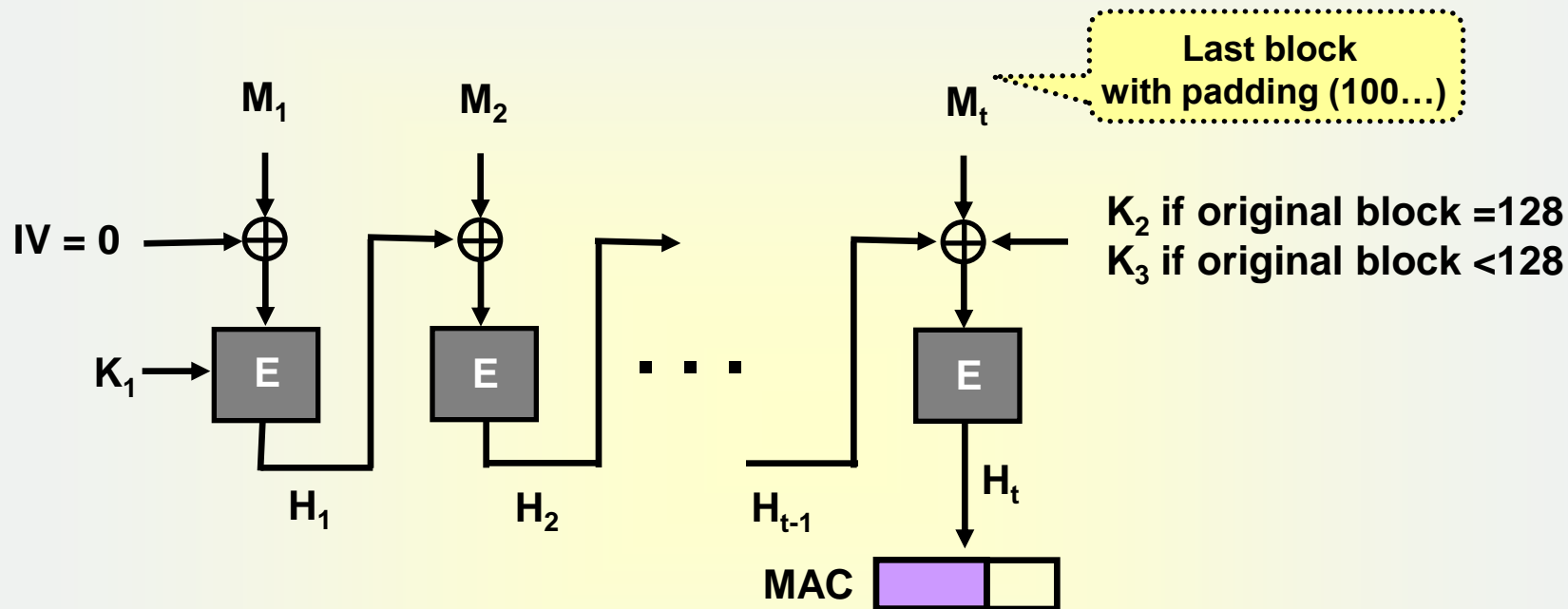


$H_0 = IV = 0$   
 $H_i = E_K(M_i \oplus H_{i-1})$   
 $MAC_K(M) = H_t [1 \dots b/2]$   
 or  
 $MAC_K(M) = E_K(D_{K'}(H_t)) [1 \dots b/2]$



Optional  
MAC strengthening

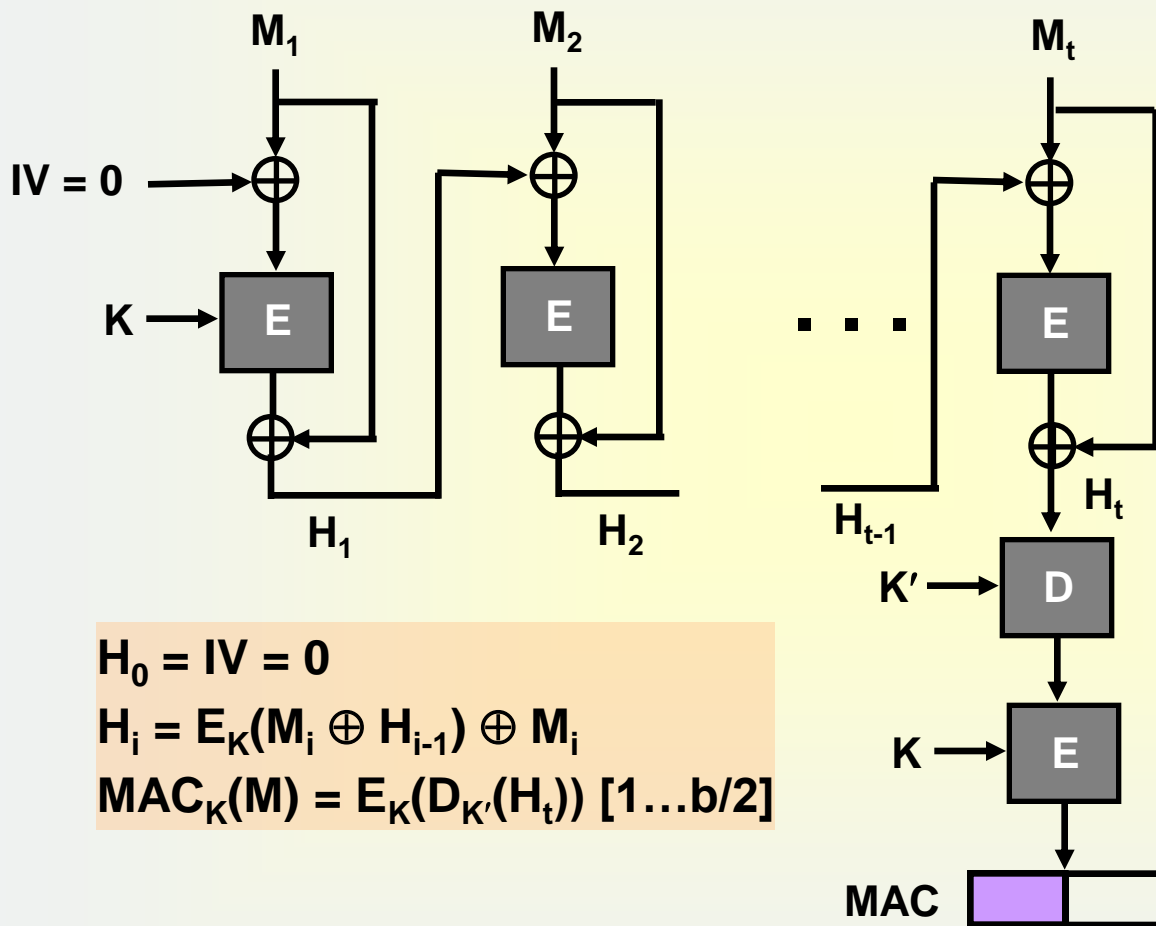
# MAC Based on Block Ciphers: XCBC-MAC



Key derivation from the secret key  $K$  (Ipsec: AES-XCBC-MAC-96)

$$\begin{aligned}
 K_1 &= E_K(0x010101010101010101010101010101010101) \\
 K_2 &= E_K(0x02020202020202020202020202020202) \\
 K_3 &= E_K(0x03030303030303030303030303030303)
 \end{aligned}$$

# MAC Based on Block Ciphers: RIPE-MAC



$$H_0 = IV = 0$$

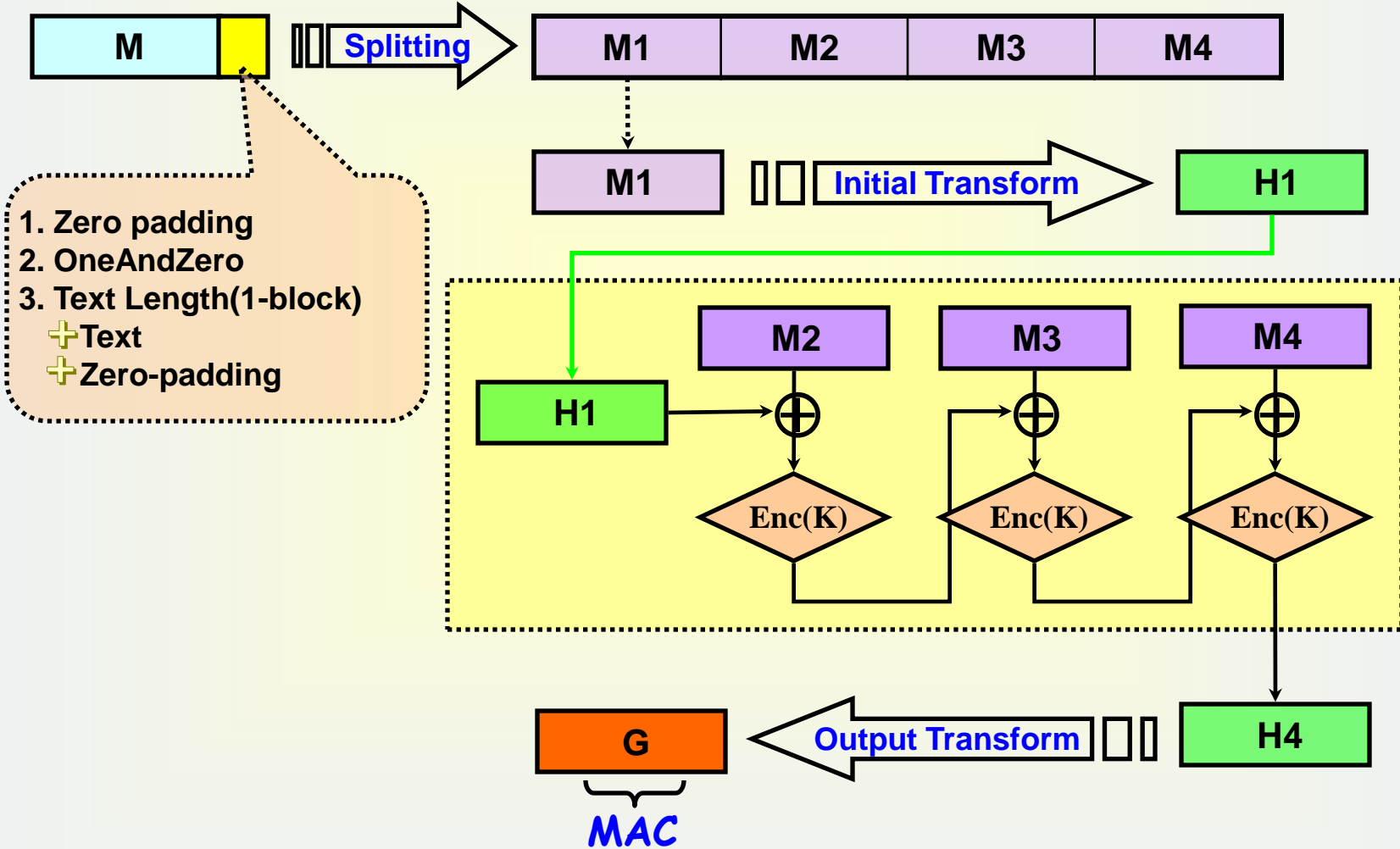
$$H_i = E_K(M_i \oplus H_{i-1}) \oplus M_i$$

$$MAC_K(M) = E_K(D_{K'}(H_t)) [1 \dots b/2]$$

❖ Padding:  
one-zero padding  
(possible none) +  
length block

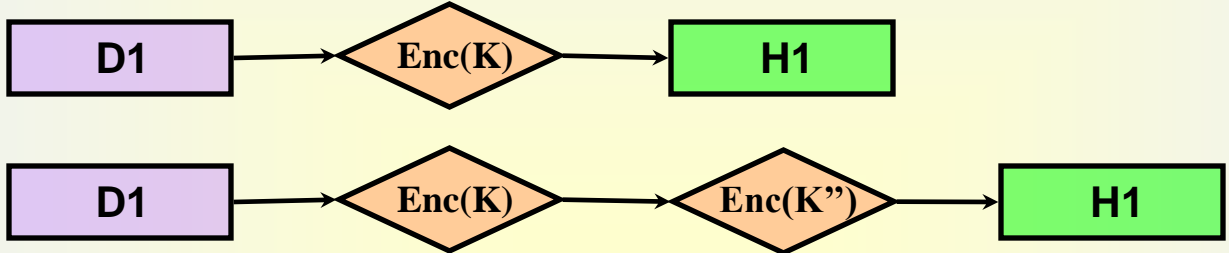
❖  $K' = K \oplus 0x\ 0f0f \dots 0f$

# CBC-MAC : ISO 9797-1

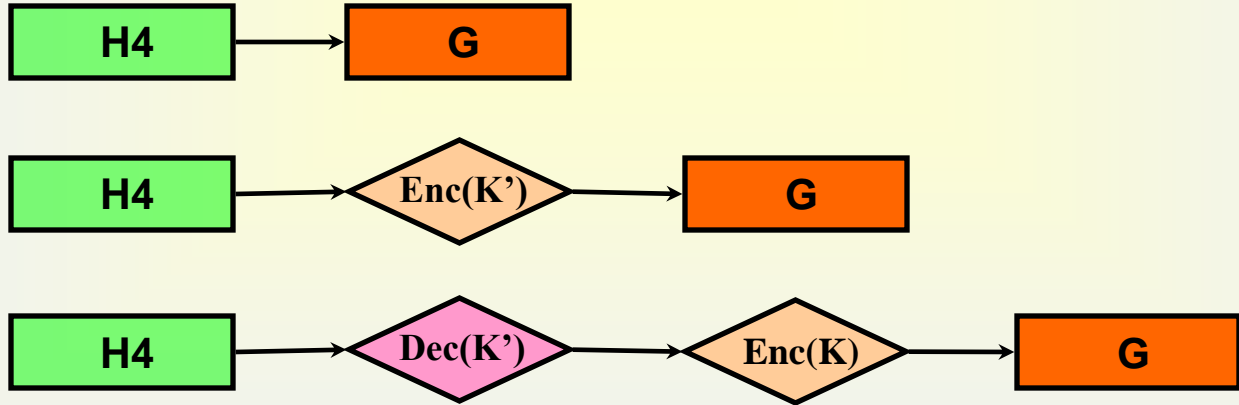


# CBC-MAC : Transformation

➤ Initial Transformation



➤ Output Transformation



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## Homework #4

### ➤ Birthday Paradox

**A professor posts the grades for a class using the last four digits of the social security number of each student. Assume that the social security numbers are randomly distributed. In a class of 200 students, what is the probability that at least two students have a collision problem (the same four digits)?**